



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit:

Examiner:

In re application of:

KOHEI NAKAMURA

Serial No.:

10/518,684

Filed: December 17, 2004

For:

FLAT VALVE DEVICE

## REQUEST FOR CORRECTION OF FILING RECEIPT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Upon review of the Filing Receipt in connection with the above-identified application, a copy of which is enclosed, two (2) errors and omissions were noted as indicated on the enclosed marked-up copy.

Firstly, please associate this application with Correspondence Customer number 3713 and note that the "Power of Attorney" in the Filing Receipt should include H. Henry Koda, Reg. No. 27,729, and William L. Androlia, Reg. No. 27,177, who were omitted, and should be added. Please refer to the enclosed Declaration & Power of Attorney form included for your reference.

Secondly, the number of drawings listed on the filing receipt is incorrect. Instead of 15 sheets of drawings, 21 sheets of drawings were submitted. Enclosed for your reference is the first page of the transmittal sheet which reflects the number of drawing sheets submitted.

It is, therefore, respectfully requested that the Patent Office issue a corrected Filing Receipt indicating the above changes accordingly.

Respectfully submitted,

**KODA & ANDROLIA** 

William L. Androlia Reg. No. 27,177

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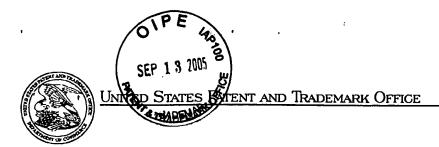
Certificate of Mailing I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450. Alexandria, VA 22313-1450, on

September 9, 2005

Date of Deposit

William L. Androlia

Signature Date



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

FILING OR 371 APPL NO. ART UNIT FIL FEE REC'D ATTY.DOCKET NO DRAWINGS TOT CLMS IND CLMS (c) DATE 12/17/2004 3751 630 10/518,684 259A 3659 PCT 6

> Please change to 21 drawings **CONFIRMATION NO. 6611**

003713 **KODA & ANDROLIA** 2029 CENTURY PARK EAST **SUITE 1140** LOS ANGELES, CA 90067

RECEIVED

KODA & ANDROLIA

**FILING RECEIPT** OC000000016531696

Date Mailed: 07/20/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kohei Nakamura, Chitose, JAPAN;

Power of Attorney: None

H. Henry Koda, Reg. No. 27,729 and William L. Androlia, Reg. No. 27,177

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/08210 06/11/2004

Foreign Applications

JAPAN 2003-168506 06/12/2003

**Projected Publication Date: 10/20/2005** 

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Flat valve device

### **Preliminary Class**

251

### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

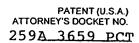
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).





SIGNATURE OF INVENTOR 3

DATE

# DECLARATION and POWER OF ATTORNEY

☑ Declaration Submitted With Initial Filing
 ☐ Declaration Submitted after Initial Filing
 (surchame 37 CFR 1 16(e)) required)

		(surcharge 37 CFR 1.16(e)) required)							
I hereby declare that:									
Each inventor's residence, mailing address, and citizenship are as stated below next to their name.  I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:									
	FLAT VALVE DEVICE								
the specification of which is attached hereto unless the following box is checked:    X   was filed on _June/11/2004 as United States Application Number or PCT International Application Number _ PCT/JP2004/008210									
l here	and was amended on								
to above.  I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.  I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.									
		PRIOR FOREIG	SN APPLICATION(S)						
	COUNTRY APPLICATION NUMBER		DATE OF FILING Month Day Year	PRIORITY CLAIMED UNDER 35 U.S.C. 119					
	Japan	2003-168506	June/12/2003	☑ Yes ☐ No					
POWER OF ATTORNEY: As a named Inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.  WILLIAM L. ANDROLIA, Reg. No. 27,177; H. HENRY KODA, Reg. No. 27,729.  Direct all correspondence to:  KODA & ANDROLIA 2029 Century Park East. Suite 1430 Los Angeles, CA 90067-3024									
(Pleas	e Print)	The second secon	Desidence CITY	STATE or COUNTRY					
	Name of Inventor KOHEI NAKAMURA		Residence: CITY Chitose	Japan					
1	Post Office Address			CITIZENSHIF					
	17-5, Fukuzumi1-chome, Chitose-shi, Hokkaido, 066-0053, Japan			Japar					
	Name of Inventor		Residence: CITY	STATE or COUNTRY					
2	Post Office Address			CITIZENSHIP					
	Name of Inventor		Residence: CITY	STATE or COUNTRY					
3	Post Office Address			CITIZENSHIP					
4	Name of Inventor		Residence: CITY	STATE or COUNTRY					
	Post Office Address			CITIZENSHIP					
these s	tatements were made with the k	le herein of my own knowledge are true and that nowledge that willful false statements and the like opardize the validity of the application or any pat	so made are punishable by fine o	on and belief are believed to be true; and further that or imprisonment, or both, under 18 U.S.C. 1001 and					
SIGN	ATURE OF INVENTOR 1	WAKAMURA	SIGNATURE OF INVENTOR 2						
DATE	NoV/	NAKAMURA 22 /2004	DATE						

SIGNATURE OF INVENTOR 4

DATE

	RM PTO V. 10-20		ATTORNEY'S DOCKET NUMBER						
		TRANSMITTAL LETTER 1	259A 3659 PCT						
		DESIGNATED/ELECTE CONCERNING A FILING	U.S. APPLICATION NUMBER (if known, see 37 C.F.R. 1.5)						
ΙΝ̈́	TERN.	IATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED					
L		PCT/2004/008210	11 June 2004	12 June 2003					
TIT	TITLE OF INVENTION FLAT VALVE DEVICE								
			NAKAMURA						
Ap	plican	t herewith submits to the United States Des	ignated/Elected Office (DO/EO/US) the following it	tems and other information:					
1.	$\boxtimes$	This is a FIRST submission of items con	ncerning a filing under 35 U.S.C. 371.	•					
2.		This is a SECOND or SUBSEQUENT	submission of items concerning a filing under 35 U.	S.C. 371.					
3.	X	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (20) indicated below.							
4.		The US has been elected (Article 31).							
5.	$\boxtimes$	A copy of the International Application a							
		<ul> <li>a.  a. is attached hereto (required or</li> <li>b.  as been communicated by th</li> </ul>	nly if not transmitted by the International Bureau).						
			tion was filed in the United States Receiving Office	(RO/US).					
6.	$\boxtimes$		aternational Application as filed (35 U.S.C. 371(c)(2						
		a. is attached hereto							
	_	b. has been previously submitted	```						
7.	$\boxtimes$	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  a.   are attached hereto (required only if not communicated by the International Bureau).							
		<ul> <li>a.  are attached hereto (required of the communicated by the communicated by</li></ul>		u).					
			, the time limit for making such amendments has NO	OT expired.					
	_	d. A have not been made and will r							
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9.	$\boxtimes$	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
10.		An English language translation of the an 371(c)(5)).	nexes of the International Preliminary Examination	Report under PCT Article 36 (35 U.S.C.					
Item	15 11 t	to 19 below concern document(s) or infor	mation included:	<i>:</i>					
11.		An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.							
12.		An assignment document for recording.	A separate cover sheet in compliance with 37 C.F.R.	3.28 and 3.31 is included.					
13.		A preliminary amendment.	A preliminary amendment.						
14.	$\boxtimes$	An Application Data Sheet under 37 CFR	. 1.76.						
15.		A substitute specification.							
16.		A power of attorney and/or change of address letter.							
17.		A second copy of the published international application under 35 U.S.C. 154(d)(4).							
		A. second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).							
19.	⊠	Other items or information: a. Twenty-one (21) sheets of drawings							